

- SEBI REGN NO.: INZ000236337
- CIN: U67120MH1997PTC111377
- GSTIN: 27AABCP4399M1ZR



PAVAK SECURITIES PVT LTD

Policy Setting of Limits

MEMBER: BSE LTD
CLEARING NO.: 0772

Objective

Risk Management is an integral part of any organization. We need to deal with various kind of risk like Credit Risk, Market Risk, Default Risk, Liquidity Risk and other Risk. In Securities Market, customers have to be alerted with respect to their obligations, open positions, market conditions, margin requirements, regulatory requirements and steps initiated by brokers in case of changing market situations.

Types of Limits:

- Quantity limit for each order
- Value limit for each order
- User value limit for each user ID

The Compliance officer shall ensure that

1. The limits are setup after assessing the risk of the corresponding user id
2. The limits are setup after taking in to account the members capital adequacy requirements
3. All the limits are reviewed regularly and the limits in the system are up to date.

For PAVAK SECURITIES PVT. LTD.

B.V. K...

DIRECTOR / AUTHORISED SIGNATORY